

5.02 SUBSTANCE ABUSE PREVENTION AND TREATMENT BLOCK GRANT (SAPTBG) FUNDS

The federal legislative authorization for the SAPTBG funds, allocated under Appropriation 70-327, is the Federal Public Health Service Act. The DOH, serving as the Single State Agency for receipt of SAPTBG funds, manages expenditure requirements established in the block grant through a planning and monitoring process.

BDAP allocates specific amounts of SAPTBG funds to the SCAs for the purpose of planning, carrying out and evaluating activities to prevent and treat substance abuse and for related activities, as authorized under the grant, and in accordance with specified expenditure requirements. The allocated amounts are based on population, competitive awards and other factors and are also calculated to meet the specified block grant expenditure requirements.

SAPTBG funds are the primary Federal funding source relative to the SCA's agreement with BDAP. All SCAs receive funding from this source, to include base dollars as well as categorical funding to be utilized for specific populations and initiatives (e.g. the Student Assistance Program and the Pregnant Women and Women With Children initiatives.)

SAPTBG funds may be budgeted for the provision of prevention, intervention, treatment, as well as case management activities. The SCA may not expend more Federal dollars than are allocated in the Schedule of Funding for a particular SFY under the subcategories of Alcohol Prevention, Drug Prevention, Alcohol Intervention/Treatment and Drug Intervention/Treatment.

The SAPTBG contains a requirement to spend not less than 20 percent of the grant on primary prevention programs, utilizing the Center for Substance Abuse Prevention's six federal strategies (Information Dissemination, Education, Alternative Activities, Problem Identification and Referral, Community-Based Process and Environmental) in conjunction with the three Institute of Medicine (IOM) Prevention Classifications to meet Performance Partnership Grant (PPG) requirements. Prevention funding is allocated to all SCAs based on county populations. Prevention program activities shall be provided in a variety of settings to targeted populations who are severely affected by risk factors associated with substance abuse, determined through community-wide bi-annual needs/risk assessments performed by the SCAs. BDAP tracks the results of these services through use of the Performance Based Prevention Software Management Information System.

On occasion, there may be SAPTBG funding that is awarded to SCAs for certain special initiatives. These funds may be awarded selectively to one or several SCAs, or to all SCAs.

SAPTBG base funds drawn down by the SCA but not spent during the SFY may not be carried forward. However, under certain circumstances, SAPTBG funds awarded for special initiatives may be carried forward upon instruction from BDAP. Any unspent funds must be returned to BDAP with the submission of the fourth quarter fiscal report.

In order for the SCA and any subcontractor under the SCA agreement to be in compliance with SAPTBG requirements, the SCA shall assure that it and any subcontractor under the SCA agreement shall cooperate fully with the Commonwealth to enable it to comply with any reporting, audit, or fiscal

requirements imposed under 42 U.S.C. Section 300x-52. Further, the SCA shall assure that, should the federal government conduct any investigation under 42 U.S.C. Section 300x-55(g), the SCA and any subcontractors shall cooperate fully with the Commonwealth in such investigation and specifically shall make available for examination and copying by the Commonwealth, the U.S. Department of Health and Human Services or the Comptroller General of the United States, the documentary records outlined under 42 U.S.C. Section 300x-55.